# Code Search and Comprehension in Software Engineering

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#### **NC STATE** UNIVERSITY

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#### Code search is frequent

- ~12x per developer per day [in 2012]
- Search sessions involve multiple queries
- Code search with Google takes more time, more clicks, and more query reformulation than non-code search

# Two Most Common Needs

- 1. Example Code, **how** to do something (33%)
- 2. Explaining what it does (26%)



#### Code-to-Code Search







# The Halting Problem

IT MAY NEVER WORK IN THEORY.

# Code-to-code Search

```
List<Integer> getOdds(int max) {
  List<Integer> odds = new ArrayList<>();
  for(int i = 0; i < max; i++)
      if (i % 2 == 1)
          odds.add(i);
  return odds;</pre>
```

}

Java: for loop to populate array of odd numbers

```
Integer[] func(int x) {
    int[] n = IntStream.range(0, x).toArray();
    List<Integer> e = new ArrayList<>();
    for (int i=0; i<n.length(); i++)
        if (n.get(i) % 2 == 1)
            e.add(n.get(i));
    return e.toArray();
}</pre>
```

Java: List of even numbers using IntStream

```
sift xs
```

twoMultiples Int :: [Int]
twoMultiples n = sift [0..n-1]

Haskell: List of even numbers using recursion

```
isEven :: Int -> Bool
isEven x = x `mod` 2 == 0
```

getEvens Int :: [Int]
getEvens n = filter (isEven x) [0..n]

Haskell: List of even numbers using chaining

```
if not nums:
    return nums
elif nums[0] % 2 == 0:
    return [nums[0]] + func(nums[1:])
else:
    return func(nums[1:])
```

def func(nums):

Python: List of even numbers using recursion

```
def filter_nums(max_val):
    nums = range(max_val)
    return [i for i in nums if i % 2 == 0]
```

**Python**: List of **even** numbers using list-comprehension





getEvens n = filter (isEven x) [0..n]

Haskell: List of even numbers using chaining

return [nums[0]] + func(nums[1:])

isEven :: Int -> Bool

getEvens Int :: [Int]

if not nums:

else:

return nums

**elif** nums[0] % 2 == 0:

return func(nums[1:])

Python: List of even numbers using recursion

isEven x = x `mod` 2 == 0

# Code-to-code Search - Language

```
sift :: [Int] -> [Int]
                                                       sift [] = []
List<Integer> getOdds(int max) {
                                                        sift (x:xs) = if (x \mod 2 == 0) then
    List<Integer> odds = new ArrayList<>();
                                                                         x: sift xs
    for(int i = 0; i < max; i++)</pre>
                                                                      else
        if (i % 2 == 1)
                                                                         sift xs
             odds.add(i);
    return odds;
                                                       twoMultiples Int :: [Int]
                                                        twoMultiples n = sift [0..n-1]
Java: for loop to populate array of odd numbers
                                                       Haskell: List of even numbers using recursion
Integer[] func(int x) {
    int[] n = IntStream.range(0, x).toArray();
                                                                                                      def func(nums):
    List<Integer> e = new ArrayList<>();
                                                      def filter_nums(max_val):
    for (int i=0; i<n.length(); i++)</pre>
                                                          nums = range(max_val)
        if (n.get(i) % 2 == 1)
                                                          return [i for i in nums if i % 2 == 0]
            e.add(n.get(i));
    return e.toArray();
                                                       Python: List of even numbers using
Java: List of even numbers using IntStream
                                                       list-comprehension _____
```

```
sift :: [Int] -> [Int]
                                                                                                         isEven :: Int -> Bool
                                                       sift [] = []
List<Integer> getOdds(int max) {
                                                                                                         isEven x = x \mod 2 == 0
                                                       sift (x:xs) = if (x `mod` 2 == 0) then
    List<Integer> odds = new ArrayList<>();
                                                                         x: sift xs
    for(int i = 0; i < max; i++)</pre>
                                                                                                         getEvens Int :: [Int]
                                                                      else
        if (i % 2 == 1)
                                                                                                         getEvens n = filter (isEven x) [0..n]
                                                                         sift xs
             odds.add(i);
    return odds;
                                                                                                        Haskell: List of even numbers using chaining
                                                        twoMultiples Int :: [Int]
                                                        twoMultiples n = sift [0..n-1]
Java: for loop to populate array of odd numbers
                                                       Haskell: List of even numbers using recursion
Integer[] func(int x) {
    int[] n = IntStream.range(0, x).toArray();
                                                                                                      def func(nums):
    List<Integer> e = new ArrayList<>();
                                                                                                           if not nums:
                                                      def filter_nums(max_val):
    for (int i=0; i<n.length(); i++)</pre>
                                                                                                               return nums
                                                          nums = range(max_val)
        if (n.get(i) % 2 == 1)
                                                                                                           elif nums[0] % 2 == 0:
                                                          return [i for i in nums if i % 2 == 0]
            e.add(n.get(i));
                                                                                                               return [nums[0]] + func(nums[1:])
    return e.toArray();
                                                                                                           else:
                                                                                                               return func(nums[1:])
                                                       Python: List of even numbers using
Java: List of even numbers using IntStream
                                                       list-comprehension
                                                                                                       Python: List of even numbers using recursion
```

# Code-to-code Search - Behavior



# Code-to-code Search - Structure

```
sift :: [Int] -> [Int]
                                                                                                         isEven :: Int -> Bool
                                                       sift [] = []
List<Integer> getOdds(int max) {
                                                                                                         isEven x = x `mod` 2 == 0
                                                       sift (x:xs) = if (x `mod` 2 == 0) then
    List<Integer> odds = new ArrayList<>();
                                                                         x: sift xs
    for(int i = 0; i < max; i++)</pre>
                                                                                                         getEvens Int :: [Int]
                                                                      else
        if (i % 2 == 1)
                                                                                                         getEvens n = filter (isEven x) [0..n]
                                                                         sift xs
             odds.add(i);
    return odds;
                                                                                                        Haskell: List of even numbers using chaining
                                                       twoMultiples Int :: [Int]
                                                        twoMultiples n = sift [0..n-1]
Java: for loop to populate array of odd numbers
                                                      Haskell: List of even numbers using recursion
Integer[] func(int x) {
    int[] n = IntStream.range(0, x).toArray();
                                                                                                      def func(nums):
   List<Integer> e = new ArrayList<>();
                                                                                                           if not nums:
                                                      def filter_nums(max_val):
   for (int i=0; i<n.length(); i++)</pre>
                                                                                                               return nums
                                                          nums = range(max_val)
        if (n.get(i) % 2 == 1)
                                                                                                           elif nums[0] % 2 == 0:
                                                          return [i for i in nums if i % 2 == 0]
            e.add(n.get(i));
                                                                                                               return [nums[0]] + func(nums[1:])
    return e.toArray();
                                                                                                           else:
                                                                                                               return func(nums[1:])
                                                       Python: List of even numbers using
Java: List of even numbers using IntStream
                                                       list-comprehension
                                                                                                       Python: List of even numbers using recursion
```



#### [ICSE 2020] [FSE 2021]



## Looking Ahead...

E.

5

I'd like Python code that, given an input of [6,2,3,4], produces the output 2

What is another way to do this?

# Are they same? Different? How do I know?

outpu print

Certain

list. Her

for num in numbers:
 if num < smallest:
 smallest = num</pre>

the

በለም



# **Comparative Comprehension**



The cognitive activity of understanding how algorithms behave **relative to each other** 



#### **Controlled Experiment**



public static boolean isAnagram(String strl,	1 v def isAnagram(s, t):
String str2) {	2 hash1 = [0]*256
<pre>if (str1.length() != str2.length())</pre>	∃ hash2 = [0]*256
return false;	
	5 < for char in s:
<pre>int[] count1 = new int[256];</pre>	6 hash1[ord(char)] -
<pre>int[] count2 = new int[256];</pre>	7 v for char in t:
	8 hash2[ord(char)] +
<pre>for (int i = 0; i &lt; str1.length(); i++) {</pre>	
++count1[str1.charAt(i)];	10 return hash1 == hash
++count2[str2.charAt(i)];	
}	
for (int i = 0; i < 256; i++)	
if (count1[i] != count2[i])	
return false;	
return true;	
}	

- 4 independent dimensions of variation
  - Behavior (same or not)
  - Language (same or not)
  - Structures (similar AST or not)
  - Meaningful names (original or obfuscated)

#### **Controlled Experiment**

#### **Thinkaloud Interviews**



Undergraduate students

Graduate students

Professionals

#### Survey



Unknown

Graduate students

Professionals

#### **Comparison Accuracy**



**Overall correctness:** 292 of 439 – 66.5%

Correctness (%) for...

	Similarity	Dissimilarity	
Clone Truth	85.3	46.7	***
Language	70.9	62.7	*
Structure	75.0	59.9	*
Names (Meaningful Obf.)	66.8	66.2	









Ļ	<pre>def camel_case(string):</pre>	1	<pre>def camel_case(string):</pre>
	a = list(string)		a = list(string)
	<pre>for i in range(0, len(a)):</pre>		<pre>for i in range(len(a)):</pre>
	if i==0 or a[i-1]=='_':		if i==0 or a[i-1]=='_':
	<pre>a[i] = a[i].upper()</pre>		<pre>a[i] = a[i].upper()</pre>
	<pre>return ''.join(a).replace('_','')</pre>		<pre>return ''.join([c for c in a if c != "_"])</pre>

"I didn't even need to [understand the logic] because they were so similar." - P4





#### U4 on cross-language deduplicators





#### U4 on cross-language deduplicators





L	<pre>def to_camel_case(text):</pre>	1	def UnderscoreToCamel
	cap = True		<pre>segments = under_sc</pre>
	newText = ''		return segments[0]
	for t in text:		for s in segments
	if t == '_':		
	cap = True		
	continue		
	else:		
	if cap == True:		
	<pre>t = t.upper()</pre>		
	newText = newText + t		
	cap = False		
	return newText		

<pre>def UnderscoreToCamelCase(under_score):</pre>	
<pre>segments = under_score.split('_')</pre>	
<pre>return segments[0] + ''.join([s[0].upper() + s[1:]</pre>	
<pre>for s in segments[1:] if len(s) &gt; 0])</pre>	









- 1	<pre>def to_camel_case(text):</pre>	
2	cap = True	
з	newText = ''	
-4	for t in text:	
5	if t == '_':	
6	cap = True	
7	continue	
8	else:	
9	if cap == True:	
10	<pre>t = t.upper()</pre>	
11	newText = newText + t	
12	cap = False	
13	return newText	



# What happens when comparative comprehension is done... on real code?

i.e., software engineering students reviewing code changes on GitHub in a code base they used in their class project.

it's a step in the right direction.

# **Refactoring Review Study**

Conversation 0

Commits 1 Checks 0

Files changed 1

jamiddl2 commented on Nov 29, 2021 • edited by ktstolee - Member ····

Adjusting some for-loops within getEntryByDateRange.

Instructions:

(fill out the Google form)

V 🕂 8 💶 💷

iTrust2/src/main/java/edu/ncsu/csc/iTrust2/controllers/api/APILogEntryController.

java 🖓

		Viewed ····
		@ -110,8 +110,7 @@ public class APILogEntryController extends APIC
110	110	<pre>if ( user == null    user.getRoles() == null    user.getR</pre>
111	111	<pre>visible = new ArrayList<logentry>();</logentry></pre>
112	112	
113		<pre>for ( int i = 0; i &lt; entries.size(); i++ ) {</pre>
114		<pre>final LogEntry le = entries.get( i );</pre>
	113	<pre>for ( final LogEntry le : entries ) {</pre>

**RQ1**: What **barriers** do student developers face when comprehending code changes?

**RQ2**: How **accurately** do student developers recognize behavioral impact in code review tasks?

#### Study Context

Junior-level undergraduate students

Interviews (20 minutes) On Zoom Before the tasks

n=29



8 Tasks (40+ minutes)

In-class study on refactoring review using GitHub

Familiar code base







#### Interviews

- Prior to class activity
- 10-20 minutes
- Semi-structured

- (1) **Background questions (repeated in post-activity survey)**:
  - (a) Are you familiar with the concepts of code refactoring?  $\rightarrow$  Please define in your own words.
  - (b) And code review, especially with a team?  $\rightarrow$  Please define in your own words.
  - (c) What have been your experiences in receiving code reviews?
  - (d) What experiences have you had outside of class?
- (2) **Review Techniques questions:** 
  - (a) When doing code review on new or changed behavior, what tools and techniques did you have to determine behavior?
  - (b) Are those techniques good enough, or do you wish you had a better way?
  - (c) Do you typically get to see both versions of the code at the same time?
- (3) Quality & Refactoring Questions
  - (a) Have you made suggestions during code review to improve code quality without changing the overall behavior?
  - (b) How do you define code quality in these situations?
  - (c) What techniques did you have to determine quality?
  - (d) What techniques did you have to determine if behavior has been maintained?
  - (e) Do those techniques typically work as intended and in a timely manner, or could they be better?
- (4) Speculative Questions
  - (a) If you had access to any information or tool you'd like, what would be your ideal way of examining, comparing, and reviewing code?
  - (b) What are your biggest sources of frustration?
  - (c) What are other times you compare code, beyond review?

# Tasks

6 refactoring review tasks were retained for analysis (some true refactorings, some non-refactorings)

- 1. for loop  $\rightarrow$  for each loop
- 2. loop  $\rightarrow$  pipeline
- 3. consolidate conditional+ extract variable
- 4. consolidate conditional
   + extract and move function
- 5. Replace magic literal
  - + Steam.collect.size → steam.count
- 6. Extract function + slide statement

Task link here: <u>https://github.ncsu.edu/engr-csc326-fall2021/csc326-ref-activity-000/pull/30</u>

Is this code change a refactoring (i.e. it does not change the external behavior)?

- Yes, it is a refactoring.
- No, it changes the code's behavior.
- 🔵 I don't know.

#### What impact does this code change have?

If it is a refactoring, does the refactoring improve the legibility, maintainability, or something else? If it is not a refactoring, what behavior does this pull request change? If you do not know, what feature of the code are you unsure about?

Your answer

What tools or strategies did you use to investigate the differences in the code?

Explain in a comment what strategies you used in comparison. Did you use an IDE? Did you use the unified or split view in GitHub? Did you run the test suite? All of the above? None of the above?

Your answer

Was there anything difficult about comparing this code?

Your answer

#### **Post-Task Reflection**

- (1) What was difficult about performing the code review in this study?
- (2) What was easy about performing the code review in this study?
- (3) What would have helped you perform the code review in this study more effectively?
- (4) How many years of programming experience do you have?
- (5) Do you have experience in professional software environments? With code review? With refactoring?
- (6) What is your gender identity? [male/female/non-binary/prefer not to disclose]

# ¢°

### **Refactoring Review Study**



#### true refactorings non-refactorings



#### Results RQ2 - Accuracy

	Responses	# Refactoring	#Non-Refactoring
for $loop \rightarrow$ for each loop	42	35 (83%)	7 (17%)
loop -> pipeline	43	34 (79%)	2 (5%)
consolidate conditional + extract variable	44	38 (86%)	5 (11%)
consolidate conditional + extract and move function	44	31 (70%)	10 (23%)
<pre>Replace magic literal + Steam.collect.size → steam.count</pre>	38	27 (71%)	4 (11%)
Extract function + slide statement	31	22 (71%)	2 (6%)

Overall Accuracy: 106 / 242 = 43.8%

#### Results RQ1 - Barriers

			Interview	Activity	Reflection
	Title	Description	n=29	n=44	n=44
		Context Barriers			
	Limited Time	2 (7%)	4 (9%)	10 (23%)	
P	Social Friction	Dysfunctions or a lack of response from other developers.	12 (41%)	0 (0%)	2 (5%)
	Self-Doubt	Difficulty because of lack of experience or lack of self-confidence.	1 (3%)	3 (7%)	1 (2%)
		All Context Barriers	13 (45%)	6 (14%)	13 (30%)
		Tool Barriers			
C	Lack of Tests	Insufficient automatic verification of the codebase.	4 (14%)	3 (7%)	4 (9%)
	Limited or Misaligned View	Cannot focus on all relevant code at once; limited screen space.	8 (28%)	17 (39%)	7 (16%)
	Toolchain Issues	Dysfunctions in coordination of tools.	7 (24%)	1 (2%)	1 (2%)
		All Tool Barriers	13 (45%)	18 (41%)	12 (27%)
		Code Barriers			
	Large Scope	Large volume of code to comprehend.	8 (28%)	11 (25%)	8 (18%)
	Unfamiliar Code	Code is unfamiliar or uses unfamiliar features.	1 (3%)	21 (48%)	10 (23%)
	Comprehension	Code is difficult to understand.	8 (28%)	21 (48%)	6 (14%)
		All Code Barriers	12 (41%)	32 (73%)	23 (52%)
14		Comparative Comprehension Barriers			
—	Unclear Motivation	The developer does not know why code was written or changed.	10 (34%)	3 (7%)	2 (5%)
Ш	Deep Changes	New version of code looks very different.	0 (0%)	7 (16%)	2 (5%)
	Merge Conflicts	Dysfunctions in deciding the authoritative versions.	3 (10%)	0 (0%)	0 (0%)
	Delta Comprehension	The changes between code versions are difficult to understand.	1 (3%)	15 (34%)	4 (9%)
		All Comparative Comprehension Barriers	13 (45%)	18 (41%)	8 (18%)



#### Results RQ1 - Barriers

			Interview	Activity	Reflection
	Title	Description	n=29	n=44	n=44
		Context Barriers			
	Limited Time	Insufficient time to perform the task to the developer's satisfaction.	2 (7%)	4 (9%)	10 (23%)
P	Social Friction	Dysfunctions or a lack of response from other developers.	12 (41%)	0 (0%)	2 (5%)
	Self-Doubt	Difficulty because of lack of experience or lack of self-confidence.	1 (3%)	3 (7%)	1 (2%)
		All Context Barriers	13 (45%)	6 (14%)	13 (30%)
		Tool Barriers			
C	Lack of Tests	Insufficient automatic verification of the codebase.	4 (14%)	3 (7%)	4 (9%)
	Limited or Misaligned View	Cannot focus on all relevant code at once; limited screen space.	8 (28%)	17 (39%)	7 (16%)
	Toolchain Issues	Dysfunctions in coordination of tools.	7 (24%)	1 (2%)	1 (2%)
		All Tool Barriers	13 (45%)	18 (41%)	12 (27%)
		Code Barriers			
	Large Scope	Large volume of code to comprehend.	8 (28%)	11 (25%)	8 (18%)
47	Unfamiliar Code	Code is unfamiliar or uses unfamiliar features.	1 (3%)	21 (48%)	10 (23%)
	Comprehension	Code is difficult to understand.	8 (28%)	21 (48%)	6 (14%)
		All Code Barriers	12 (41%)	32 (73%)	23 (52%)
2 <del>7</del>		<b>Comparative Comprehension Barriers</b>			
_	Unclear Motivation	The developer does not know why code was written or changed.	10 (34%)	3 (7%)	2 (5%)
Ш	Deep Changes	New version of code looks very different.	0 (0%)	7 (16%)	2 (5%)
	Merge Conflicts	Dysfunctions in deciding the authoritative versions.	3 (10%)	0 (0%)	0 (0%)
······	Delta Comprehension	The changes between code versions are difficult to understand.	1 (3%)	15 (34%)	4 (9%)
		All Comparative Comprehension Barriers	13 (45%)	18 (41%)	8 (18%)

#### [Code] Comprehension

P25: *"The new boolean statements were somewhat difficult to parse"* 

P29: "Yes, trying to understand certain methods and what the code was accomplishing [was difficult]."



174		else if ( !isOphthalmology.equals( other.isOphthal		
	137	+ } else if ( obj == null    getClass() != obj.getCl		
175	138	return false;		
176	139	}		
177		<pre>- return true;</pre>		
	140	+		
	141	<pre>+ final ICDCodeForm other = (ICDCodeForm) obj;</pre>		
	142	+ final boolean sameCode = code != null && code.equa		
	143	<pre>+ final boolean sameDescription = description != nul</pre>		
		<pre>description.equals(other.description);</pre>		
	144	+ final boolean sameId = id != null && id.equals(oth		
	145	<pre>+ final boolean sameOpthalmology = isOphthalmology !</pre>		
	146	+ isOphthalmology.equals(other.isOph		
	147	+ return sameCode && sameDescription && sameId && sa		

#### [Code] Unfamiliar Code

P8: "This was a bit more difficult as I am **not experienced in using array streams**"

P32: "I did not know what the code's purpose was, so I had to look at the entire file...Only looking at the change lines was difficult."



102	103		*	could be found
103	104		*/	
104	105		publ	<pre>ic static BloodType parse ( final String ty</pre>
105		-		<pre>for ( final BloodType type : values() ) {</pre>
106		-		<pre>if ( type.getName().equals( typeStr ) )</pre>
107		-		return type;
108		-		}
109		-		}
110		-		<pre>return NotSpecified;</pre>
	106	+		<pre>return Arrays.stream(values())</pre>
	107	+		<pre>.filter(type -&gt; type.getNam</pre>
	108	+		.findFirst()
	109	+		<pre>.orElse(NotSpecified);</pre>
111	110		}	
112		- }		
	111	+ }		

#### [Code] Large Scope

P27: "Given that there were a **huge change**, at first it was overwhelming to read all of the code."

P12: "This [pull request] could be improved by **separating smaller changes into more commits**, but that doesn't appear possible in this example."

~ ‡	212		🛛 iTrust2/src/main/java/edu/ncsu/csc/iTrust2/config/FailureHandler.java 🖵
158			// fail for username
159		_	<pre>final LoginAttempt attempt = new LoginAttempt():</pre>
160		-	attempt.setTime( ZonedDateTime.now() );
161		-	attempt.setUser(user);
162		-	<pre>loginAttemptService.save( attempt );</pre>
163		-	}
164		-	}
	85	+	handleBadCredentials(request, response, username, addr, user);
165	86		
166	87		}
167	88	+	<pre>else if ( ae instanceof DisabledException ) {</pre>
168		-	if ( username != null ) {
169		-	<pre>user = userService.findByName( username );</pre>
170		-	}
1/1		-	IT ( user := null ) {
172		-	// redirect to user lockout or user ban
174			this conflorent transmitted user / / (
175			refurn:
176		_	}
177		-	else if ( loginLockoutService.isUserLocked( user ) ) {
178		-	<pre>this.getRedirectStrategy().sendRedirect( request, response, "/login?locked" );</pre>
179		-	return;
180		-	3
181		(-1)	// else, otherwise disabled
182		-	}
183		-	
184		-	<pre>this.getRedirectStrategy().sendRedirect( request, response, "/login?locked" );</pre>
185		-	return;
100	89	+	nandleDisabledAccount(request, response, username, user);
100	90		; this astPadicestStrateau() condPedicest( request, response, "/legin2error" );
188	92		}
189	93		r.
	94	+	private void handleBadCredentials(final HttpServletRequest request, final HttpServletResponse response,
	95	+	final String username, final String addr, User user) throws IOException {
	96	+	// need to lockout IP
	97	+	<pre>if ( loginAttemptService.countByIP( addr ) &gt;= 5 ) {</pre>
	98	+	loginAttemptService.clearIP( addr );
	99	+	// Check if need to ban IP
	100	+	<pre>if ( loginLockoutService.getRecentIPLockouts( addr ) &gt;= 2 ) {</pre>
	101	+	// BAN
	102	+	Tinal LoginBan ban = new LoginBan();
	103	+	ban settime(ZonedlateTime pow()):
	105	1	loginBanGervice, save( ban):
	106	+	
	107	+	<pre>loginLockoutService.clearIP( addr );</pre>
	108	+	<pre>loggerUtil.log( TransactionType.IP_BANNED, addr, null, addr + " has been banned." );</pre>
	109	+	<pre>this.getRedirectStrategy().sendRedirect( request, response, "/login?ipbanned" );</pre>
	110	+	}
	111	+	else {
	112	+	// lockout IP.
	113	+	<pre>final LoginLockout lockout = new LoginLockout();</pre>
	114	+	lockout.setIp( addr );
	115	+	<pre>lockout.setlme( ZonedDatelime.now() ); lockleaveformics crack (Josepherk);</pre>
	110	+	loginLockoutService.savet (ockout );
	112	+	this advantation of the standard the standa
	119	+	cital general eccentracy () isonuncut i cecer ( response) / (ogni sponked );
		3	



#### Results RQ1 - Barriers

			Interview	Activity	Reflection	
	Title	Description	n=29	n=44	n=44	
		Context Barriers				
	Limited Time	Insufficient time to perform the task to the developer's satisfaction.	2 (7%)	4 (9%)	10 (23%)	
Ł	Social Friction	Dysfunctions or a lack of response from other developers.	12 (41%)	0 (0%)	2 (5%)	
	Self-Doubt	Difficulty because of lack of experience or lack of self-confidence.	1 (3%)	3 (7%)	1 (2%)	
		All Context Barriers	13 (45%)	6 (14%)	13 (30%)	
		Tool Barriers				
C	Lack of Tests	Insufficient automatic verification of the codebase.	4 (14%)	3 (7%)	4 (9%)	
	Limited or Misaligned View	Limited or Misaligned View Cannot focus on all relevant code at once; limited screen space.				
	<b>Toolchain Issues</b>	Dysfunctions in coordination of tools.	7 (24%)	1 (2%)	1 (2%)	
		All Tool Barriers	13 (45%)	18 (41%)	12 (27%)	
		Code Barriers				
	Large Scope	Large volume of code to comprehend.	8 (28%)	11 (25%)	8 (18%)	
	Unfamiliar Code	Code is unfamiliar or uses unfamiliar features.	1 (3%)	21 (48%)	10 (23%)	
	Comprehension	Code is difficult to understand.	8 (28%)	21 (48%)	6 (14%)	
		All Code Barriers	12 (41%)	32 (73%)	23 (52%)	
19 <del>0</del>		<b>Comparative Comprehension Barriers</b>				
_	Unclear Motivation	The developer does not know why code was written or changed.	10 (34%)	3 (7%)	2 (5%)	
Ш	Deep Changes	New version of code looks very different.	0 (0%)	7 (16%)	2 (5%)	
	Merge Conflicts	Dysfunctions in deciding the authoritative versions.	3 (10%)	0 (0%)	0 (0%)	
	Delta Comprehension	The changes between code versions are difficult to understand.	1 (3%)	15 (34%)	4 (9%)	
		All Comparative Comprehension Barriers	13 (45%)	18 (41%)	8 (18%)	

#### [Context] Social Friction



P12: "I don't know I **don't like giving people negative feedback** when it's when it's like really strongly negative."

#### P43: "some group members, ... always think that they're right."

P44: "If I told someone to review code, they could write a comment and there's no way to check and see if they've actually looked through the code"



#### Results RQ1 - Barriers

			Interview	Activity	Reflection
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2		Tool Barriers			
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	Merge Conflicts	Dysfunctions in deciding the authoritative versions.	3 (10%)	0 (0%)	0 (0%)
<u></u>	Delta Comprehension	The changes between code versions are difficult to understand.	1 (3%)	15 (34%)	4 (9%)
		All Comparative Comprehension Barriers	13 (45%)	18 (41%)	8 (18%)

#### [Comparative Comprehension] Unclear Motivation



43

P23: "When I don't have [change] documentation, that definitely slows down the process of me being able to understand and interpret what their code is doing. P2: "The code change was a little confusing as I didn't see a compelling "code smell"."

137		<pre>- if ( ovf.getId() != null ) {</pre>
138		<pre>- ov.setId( Long.parseLong( ovf.getId() ) );</pre>
	137	<pre>+ String id = ovf.getId();</pre>
	138	+ if ( id != null ) {
	139	<pre>+ ov.setId( Long.parseLong( id ) );</pre>
139	140	}
140	141	
141		<pre>- final ZonedDateTime visitDate = ZonedDateTime.parse( ovf.getDate() );</pre>
142		<pre>- ov.setDate( visitDate );</pre>
	142	<pre>+ ov.setDate( ZonedDateTime.parse( ovf.getDate() ) );</pre>



#### Results RQ1 - Barriers

			Interview	Activity	Reflection
	Title	Description	n=29	n=44	n=44
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	Delta Comprehension	The changes between code versions are difficult to understand.	1 (3%)	15 (34%)	4 (9%)
		All Comparative Comprehension Barriers	13 (45%)	18 (41%)	8 (18%)

#### [Tool] Limited or Misaligned Views

P24: "The changes were on **two different files, which made it a bit trickier to compare** them."

P11: "*it was little difficult to understand since* **the changed codes are scattered all around** two *different codes.*"

183 184 /\*\* 185 \* Validates an office visit form for contain correct fields for patients + @@ -470,4 +502,4 @@ public class OfficeVisit exte <u>+</u> 470 this.satisfactionSurvey = satisfactionSur 471 } 472 473 - }

💠 34 🔳 ITrust2/src/main/java/edu/ncsu/csc/iTru

2	2)~	23 IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII
	<u>.</u>	@@ -196,29 +196,10 @@ public class OfficeVisitSe
	196	<pre>if ( p == null    p.getDateOfBirth() == ) {</pre>
	197	<pre>return ov; // we're done, patient can be tested against</pre>
	198	}
	199	<pre>- final LocalDate dob = p.getDateOfBirth()</pre>
	200	<pre>- int age = ov.getDate().getYear() -</pre>
		<pre>dob.getYear();</pre>
	201	– // Remove the -1 when changing the dob to
		OffsetDateTime
	202	<pre>_ if ( ov.getDate().getMonthValue() &lt;</pre>

#### Implications



- Social friction is likely a bigger issue than what we observed
  - Industry has seen this, too
- Support is needed to help with comprehension
  - Code summarization for single code?
  - Diffs of code summaries?
  - Behavioral diffing?
  - Test case generation to demonstrate differences?
  - $\circ$  ... I'm just speculating here

#### Come see us at ICSE 2024!



#### **Barriers for Students During Code Change Comprehension**

Justin Middleton Department of Computer Science North Carolina State University USA jamiddl2@ncsu.edu John-Paul Ore Department of Computer Science North Carolina State University USA jwore@ncsu.edu Kathryn T. Stolee Department of Computer Science North Carolina State University USA ktstolee@ncsu.edu

#### ABSTRACT

Modern code review (MCR) is a key practice for many software engineering organizations, so undergraduate software engineering courses often teach some form of it to prepare students. However, research on MCR describes how many its professional implementations can fail, to say nothing on how these barriers manifest under students' particular contexts. To uncover barriers students face when evaluating code changes during review, we combine interviews and surveys with an observational study. In a junior-level software engineering course, we first interviewed 29 undergraduate students about their experiences in code review. Next, we performed an observational study that presented 44 students from the same course with eight code change comprehension activities. These activities provided students with pull requests of potential refactorings in a familiar code base, collecting feedback on accuracy

#### **ACM Reference Format:**

Justin Middleton, John-Paul Ore, and Kathryn T. Stolee. 2024. Barriers for Students During Code Change Comprehension. In 2024 IEEE/ACM 46th International Conference on Software Engineering (ICSE '24), April 14–20, 2024, Lisbon, Portugal. ACM, New York, NY, USA, 13 pages. https://doi.org/ 10.1145/3597503.3639227

#### **1** INTRODUCTION

Code review is a useful and popular software engineering practice wherein team members manually inspect each other's new code to verify that it meets expectations before integrating it into the official product [4]. Not only does code review improve quality by catching bugs early [41], but it also promotes organizational



### Looking Ahead...

E.

5

I'd like Python code that, given an input of [6,2,3,4], produces the output 2

What is another way to do this?

# How to best compare these options?

outpu print

Certainl

list. Her

for num in numbers:
 if num < smallest:
 smallest = num</pre>

ie

the

6 9



#### Participatory Design - an HCI technique

**RQ1**: What interface features do developers want to navigate multiple similar snippets?

**RQ2**: What interface arrangements do developers want to navigate multiple similar snippets?

Partic	ipant	Pro	erannr Prof	ing Prof	rannning i. Designing	Lee	rning Sea	rching Rec
	Position	Ye	ars Ex	кp.	Languages	Ass	ignm	ents
P01	Graduate Student	5	2		Python, Java	✓		$\checkmark$
P02	Graduate Student	6	1	1	Python, Java	$\checkmark$	$\checkmark$	
P03	Graduate Student	6	2		Python, Java		$\checkmark$	$\checkmark$
P04	Graduate Student	11	5	4	Python, Java	$\checkmark$	$\checkmark$	
P05	Graduate Student	9	2		Python, JavaScript	$\checkmark$		$\checkmark$
P06	Software Engineer	12	7		Python, Java		$\checkmark$	$\checkmark$
P07	Software Engineer	5	1		Python, Java	$\checkmark$		$\checkmark$
P08	Data Engineer	25	23	15	JavaScript, C#		$\checkmark$	$\checkmark$
P09	Software Engineer	11	7		Python, Java	$\checkmark$		$\checkmark$
P10	Data Scientist	10	5		Python		$\checkmark$	$\checkmark$
P11	Software Engineer	2	1		JavaScript	$\checkmark$	$\checkmark$	



#### Participatory Design

	Question			how to bubble sort Search Options:	Option B	Descriptions from the authors
	Additional context or details		Code-first	Language, Version, Libraries, Frameworks	description	
Jpvotes, Last updated, Reputation Runtime mport math ef diviser[1] diviser[2] diviser[2] diviser[2] diviser[2] retere tust(set(divis)) Run with [language] version x Secondary responses	Upvotes, Last updated, Reputation Runtime unport math of - distorre(a): diva = (3): for + distorre(2,vn(math.sqrt(a))s1): tr + nt - math diva.extent([i,n/i]) diva.extent([i,n/i]) diva.extent([i,n/i])	Upvotes, Last updated, Reputation Runtime import math def divisors(n): divisors(n): for = (1): for = (1):	experience Horizontal listing	OPTION A	<pre>public static boolean allUnique() {     // ihis initial capacity can be     HeadSet+Charactor&gt; hs = new Heal     ter(int 1 = 0; 1 &lt; s.length();     {         tr(lhs.add(s.charAt(s).toUpper         return false;         }         return true;     }      public static boolean allUnique     {         // This initial capacity can         HeadSet+Character&gt; hs = new Heal         tor(int 1 = 0; 1 &lt; s.length();         {         // Inis initial capacity can         HeadSet+Character&gt; hs = new Heal         tor(int 1 = 0; 1 &lt; s.length();         {         // Inis initial capacity can         // This initial capacity can         // This</pre>	<pre>itring s) : Luned. thSef=cCharacter&gt;(s.longth()); t++) (String s) be Luned. ashSef=cCharacter&gt;(s.longth()); ; t++)</pre>
<pre>bit of Correcting() factors = ListifactorGenerator(n)) offseters = Listifactors() f = [0] * Indectors while true: peak reduce(Landala x, y: x*y, [Inclora(a)[0]* t = 0 while True: ([i] += 1 ([i] += factors(i)[1])</pre>	∾l[x] far x on runqm(nfactara)], 1)				<pre>(T(Ins.and(s.cmarkt(t), toup return false; return true; }</pre>	percase( ))

OPTION Z



# Code Comprehenion + Behavioral Diffing?

How does it help with comparative comprehension?

(Hey grad students! I believe this would be a straightforward project. Want to collaborate?)

#### Augmenting Diffs With Runtime Information

Khashayar Etemadi, Aman Sharma, Fernanda Madeiral, Martin Monperrus

Abstract—Source code diffs are used on a daily basis as part of code review, inspection, and auditing. To facilitate understanding, they are typically accompanied by explanations that describe the essence of what is changed in the program. As manually crafting high-quality explanations is a cumbersome task, researchers have proposed automatic techniques to generate code diff explanations. Existing explanation generation methods solely focus on static analysis, i.e., they do not take advantage of runtime information to explain code changes. In this paper, we propose COLLECTOR-SAHAB, a novel tool that augments code diffs with runtime difference information. COLLECTOR-SAHAB compares the program states of the original (old) and patched (new) versions of a program to find unique variable values. Then, COLLECTOR-SAHAB adds this novel runtime information to the source code diff as shown, for instance, in code reviewing systems. As an evaluation, we run COLLECTOR-SAHAB on 584 code diffs for Defects4J bugs and find it successfully augments the code diff for 95% (555/584) of them. We also perform a user study and ask eight participants to score the augmented and the defendence of the difference for the difference of the code diff for 95% (555/584) of them. We also perform a user study and ask eight participants to score the augmented and the difference of the difference of the difference of the code difference of the code difference of the code difference of the code difference.

#### Code-to-code Search - In Practice



#### Comparative Comprehension

The cognitive activity of understanding how algorithms behave relative to each other





#### Participatory Design



Press esc to exit full screen

X

# QImage: Constraint of the second second

#### Teamwork makes it happen.



#### Teamwork makes it happen.



# Thanks!

ktstolee@ncsu.edu





#### ICSE 2024: labels vs. explanations

	Labeled as ↓	Says Behavior Changes	<b>Q2</b> Says Behavior Does Not Change	Total
Q1	Refactoring Non-Refactoring	<b>1</b> 23	248 12	249 35
	Total	24	260	284